

INTERNATIONAL TAX (FATCA/CRS) SELF CERTIFICATION FORM

Important information for completing this form

- If you are a Snowbird, complete the reverse of this form only.
- If there are multiple account owners, a self certification form is required for each owner.
- If you are completing this form with your advisor, you must present an original piece of government issued identification to your advisor for verification of your residency status. Acceptable forms of identification include a driver's licence or passport.
- If you are not completing this form with your advisor, you must provide proof of residency status by attaching a photocopy of your government issued identification, as listed above.
- For more information on the Foreign Account Tax Compliance Act (FATCA) or the Common Reporting Standard (CRS), refer to the CRA website - www.cra-arc.gc.ca and search "FATCA" or "CRS".

Account Information	
Name of owner (first, middle, last)	Account number(s)
Address (number, street, city, province/state, postal/zip code)	Country
Date of birth (dd/mmm/yy)	Phone number
Where do you reside for tax purposes? (check all that apply)	
<input type="radio"/> Canada	
<input type="radio"/> U.S. (resident or citizen) – Tax Identification Number (TIN) _____	
If you do not have a TIN from the U.S. have you applied for one? <input type="radio"/> yes <input type="radio"/> no	
<input type="radio"/> Other – specify country _____ TIN _____	
If you do not have a TIN, specify the reason:	
<input type="radio"/> I will apply or have applied for a TIN but have not yet received it.	
<input type="radio"/> My jurisdiction of tax residence does not issue TINs to its residents.	
<input type="radio"/> Other – specify reason _____	

Advisor Verification and Declaration			
Which government issued document is being used to verify the residency status of the owner?			
<input type="radio"/> Drivers licence <input type="radio"/> Passport <input type="radio"/> Other _____			
Document #	Jurisdiction and country of issue	Expiry date	Date of verification
I declare that I have checked for any indication the owner may be a U.S. Person or tax resident of a country outside of Canada or the U.S.			
Signature of advisor X			Date (dd/mmm/yy)

Account Owner Certification and Agreement	
I certify that my answers are true to the best of my knowledge and belief, and that they may be relied upon by Empire Life Investments Inc.	
I agree to notify Empire Life Investments Inc. if there is a change in my tax residency status.	
Signature of owner X	Date (dd/mmm/yy)

INTERNATIONAL TAX (FATCA/CRS) SNOWBIRD SELF CERTIFICATION FORM

Complete this section only if you are a Snowbird.

A "Snowbird" is a Canadian resident who spends part of each year in the U.S., without becoming or having the status of being a U.S. person.

For more information, refer to the CRA website – www.cra-arc.gc.ca and search "Snowbird".

Account Information	
Name of owner (first, middle, last)	Account number(s)
Certification and Agreement	
I certify that: <ul style="list-style-type: none">• I am a resident of Canada;• I am not a U.S. citizen; and• any U.S. address, telephone number or standing instructions to transfer funds to an account maintained in the U.S. associated with this policy/contract, only exists or will arise in the context of temporary visits that I make to the U.S. while I remain a resident of Canada. I agree to notify Empire Life Investments Inc. if events cause this certification to become false or misleading.	
Signature of owner X	Date (dd/mmm/yy)